

**HISTORY 370: GODS AND DEMIGODS FROM YAO TO MAO: HISTORY OF CHINESE STATECRAFT**  
Fall 1996, HU 101, 11 AM

**Instructor:**

E. Kaplan; Office Hours: 12:30-1:00 pm daily, HU267;  
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**Text:**

Kaplan, Edward. *A History of Chinese Statecraft*, Version 1.3.  
(Purchased in weekly installments from instructor.)  
Sima, Qian. *Historical Records* (tr. Raymond Dawson). Purchase at Bookstore.  
Lévi, Jean. *The Chinese Emperor* (tr. Barbara Bray). Purchase at Bookstore from Xeroxed reprint section.

**Exams:**

Ten weekly essay quizzes (take home, open note) with a question drawn at random from the several questions assigned for that week's readings from Kaplan text (one-third of final grade). The final exam (Wednesday, December 11, 8-10 am) comprises an open note comprehensive exam of three essays answering two questions drawn at random from three questions distributed during the last week of class plus a question asking you to compare what you learned from the outside readings essay with what you learned from Kaplan's text and class discussions (one-third of final grade).

**Outside Readings Essay:**

One-third of final grade. Based on three monographs (books of 200-300 pages on a single narrowly defined topic) or six scholarly articles (from e.g. *Journal of Asian Studies*) on a single topic. Select your readings in consultation with the instructor, and **submit a topic paragraph, title, and annotated (worth of the work & how you found it and its worth) working bibliography by Friday, October 4.** A summary of the first monograph (or two articles) of no more than 1,000 words is due **Friday, October 18.** The second summary and revision of the first (accompanied by the original draft of the first) are due **Friday, November 1.** The third summary and revision of the first two (accompanied by the previous drafts) are due **Friday, November 15.** Further revised versions of the three/six summaries (if needed) and a 500-1,000-word contrast of the readings with each other are due **Friday, December 6.**

**Lecture and Weekly Quiz Schedule:** (AP/MP/RP.xx = chapters from Kaplan text; titles may vary from text's)

W 25 Sep: Organizational session; visit to Reference Room.  
R 26 Sep: AP01: From Yao To Mao (Or Shennong To Deng): A Précis Of The Course  
F 27 Jan: AP02: Metaphysics, Public Choice Theory & Chinese Political History.  
M 30 Sep: AP03: The Confucian Myth Cycle On The Formation Of The Chinese State.  
T 1 Oct: AP04: The Marxian Versus The Schoeck-Service-Voegelin Myth Cycles  
W 2 Oct: AP05: Archaeological Evidence On Early State Formation  
R 3 Oct: AP06: The Yin State Of Shang: Domestic And Foreign Politics  
F 4 Oct: AP07: The Religion Of Heaven And The Zhou Conquest  
M 7 Oct: AP08: The Zhou Empire: Feudalization.  
T 8 Oct: AP09: Defeudalization: Border Peoples And Hegemon-Kings; AP10: High Civilization Begins: The Ruling Classes

W 9 Oct: AP11: Confucius: The Last Sage And First Political Philosopher  
R 10 Oct: AP12: Reactions To Zhou High Civilization: Mo Zi; The Early Daoists  
F 11 Oct: AP13: The Evolution of Bureaucracy During The Warring States Era; AP14: Warring States Balance Of Power International Politics  
M 14 Oct: AP15: Mencius: Heaven, State, And Society  
T 15 Oct: AP 16: Confucian Administrators, Legalists, Or Traditional Socialists?  
W 16 Oct: AP17: Xun Zi And Han Fei: Heaven, Human Nature, And The State  
R 17 Oct: AP18: The Rise And Rapid Fall Of Qin  
F 18 Oct: AP19: The Rise Of Han: Liu Bang, Empress Lü, And Emperor Wen; AP20: The Age Of Emperor Wu: Mercantilism And Foreign Adventures  
M 21 Oct: Sima Qian, Introduction, ch. 1-3. (Read end notes too.)  
T 22 Oct: Sima Qian, ch. 4-6.  
W 23 Oct: Sima Qian, ch. 7-8.  
R 24 Oct: Lévi, Books One and Two  
F 25 Oct: Lévi, Book Three and Epilogue  
M 28 Oct: AP21: The Quarrels Between The Reformers And The Modernists; AP22: Wang Mang, Eastern Han, And The Disintegration Of The Universal State  
T 29 Oct: MP23: Buddhism And Chinese Political Life  
W 30 Oct: MP 24: Politics Of The Age Of Disunion  
R 31 Oct: MP25: The Sui And Early Tang Universal State  
F 1 Nov: MP26: The State's Metamorphosis From High Tang Into Northern Song; MP27: Neo-Confucian Political Philosophy  
M 4 Nov: MP28: The Age Of Wang Anshi And Its Aftermath  
T 5 Nov: MP29: The "Barbarian" States: Liao, Jin And Yuan  
W 6 Nov: MP30: Ming's Founding, Abortive Thalassocracy, And Consolidation  
R 7 Nov: MP31: The Decline Of The Ming And The Rise Of The Manchus  
F 8 Nov: MP32: The Manchus' Consolidation Of Power  
M 11 Nov: RP33: The Manchu State In Decline: 1736-1820  
T 12 Nov: RP34: The Road To The Opium War; RP35: Sino-Western Conflicts: 1832-1884.  
W 13 Nov: RP36: The Tongzhi "Restoration" And The Manchu State's Further Decay  
R 14 Nov: RP37: Defeat, Reform, Rebellion, Reform: 1894-1908  
F 15 Nov: RP38: Revolution, Abortive Restoration, Warlordism  
M 18 Nov: RP39: Evolution Of The Post-Imperial Ruling Class  
T 19 Nov: RP40: Gestation Of The Kuomintang In The South  
W 20 Nov: RP41: Chinese And Western Transitional Socialism  
R 21 Nov: RP42: Marxism's Second Arrival And Chinese Communism's First Two Decades  
F 22 Nov: RP43: The Northern Expedition And The Kuomintang Decade  
M 25 Nov: RP44: The "Great Defensive War" And The Civil War, 1937-1949  
T 26 Nov: RP45: Consolidating The Revolution, 1950-57  
W 27 Nov: RP46: Party And Meritocracy: The "Antagonistic Contradiction"  
M 2 Dec: RP47: The "Great Leap" And The "Cultural Revolution"  
T 3 Dec: RP48: China, Russia, And America: 1949-1995  
W 4 Dec: RP49: Post-Mao Domestic Politics: The Postponed Crisis Of The First Succession  
R 5 Dec: RP50: The Four Chinas  
F 6 Dec: Review & discussion  
**Final Exam: W 11 Dec, 8-10 am.**